SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

Estimated average burden	
hours per response:	0.5

11. Nature

1. Name and Address of Reporting Person [*] Butenhoff Susan			2. Issuer Name and Ticker or Trading Symbol <u>OOMA INC</u> [OOMA]		ationship of Reporting Pe (all applicable) Director	erson(s) to Issuer
				···		Other (see sife
(Last)	t) (First) (Middle)		3. Date of Earliest Transaction (Month/Day/Year) 06/20/2017]	Officer (give title below)	Other (specify below)
C/O OOMA, IN	IC.		00/20/2017			
1880 EMBARCADERO RD.						
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Group Filir	ng (Check Applicable
(Street)				X	Form filed by One Re	porting Person
PALO ALTO	CA	94303			Form filed by More that Person	an One Reporting
(City)	(State)	(Zip)				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

······································										
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)						5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	06/20/2017		A		12,805(1)	A	\$0.00	28,805	D	
Common Stock								900	I	Shares held by spouse

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

 (e.g., puts, calls, warrants, options, convertible securities)

 3. Transaction
 3A. Deemed

 4.
 5. Number

 6. Date
 Amount of

 5. Sumber
 Amount of

 9. Number of
 10.

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 9. Number of
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 Amount of

Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	or Exercise Price of Derivative	e (Month/Day/Year)	Transaction Code (Instr. 8)		of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expiration Date (Month/Day/Year)		Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares						

Explanation of Responses:

1. 100% of the total number of restricted stock units shall vest on the date of the Company's 2018 annual stockholder meeting to be held on or about June 20, 2018, subject to the Reporting Person's continued service as a member of the Board.

Remarks:

1. Title of 2.

<u>/s/ Spencer D. Jackson, as</u> <u>attorney-in-fact for Susan</u> <u>Butenhoff</u>

06/22/2017

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.