SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287							
Estimated average burden								
hours per response:	0.5							

Common Stock ⁽¹⁾ 03/01/				21	A		22,000	Α	\$0.00	59,593	D		
				Code V		Amount (A) or (D) Pri		Price	Transaction(s) (Instr. 3 and 4)		(1150.4)		
Date			2. Transaction Date (Month/Day/Ye	Execution Date,	3. Transaction Code (Instr. 8)		4. Securities Disposed Of (5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
(City)	(State)	(Zip)								Person			
SUNNYVALE	СА	94085							X	Form filed by M	ne Reporting Persone than One Rep		
(Street)			4.	If Amendment, Date of	Original	Filed	(Month/Day/Ye	ear)	Line)	vidual or Joint/Grou			
525 ALMANOR	AVE., SUITE 20)											
C/O OOMA, IN	С.		03/01/2021						VP, General Counsel & Sec.				
(Last)	(First)	3.	Date of Earliest Transa	ction (M	onth/E)av/Year)	- x	Officer (give title below)	Other below	(specify)			
1. Name and Address of Reporting Person [*] <u>Yeh Jenny C</u>				Issuer Name and Ticke		ding S	ymbol		elationship of Reporting Person(s ck all applicable)		s) to Issuer 10% Owner		
obligations may of Instruction 1(b).	continue. See			rsuant to Section 16(a) r Section 30(h) of the In					34	hour	s per response:	0.5	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (right to buy)	\$16.28	03/01/2021		Α		5,000		(2)	03/01/2031	Common Stock	5,000	\$0.00	5,000	D	

Explanation of Responses:

1. Represent restricted stock units which will vest as follows: 1/16th of the total original number of restricted stock units shall vest on June 1, 2021 and 1/16th of the total original number of restricted stock units shall vest on the same day of every third month thereafter.

2. 1/16th of the shares subject to the option shall vest and become exercisable on June 1, 2021, and 1/16th of the shares subject to the option shall vest and become exercisable on the same day of every third month thereafter.

Remarks:

/s/ Jenny Yeh

** Signature of Reporting Person

03/01/2021 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.