SEC Form 4
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# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>STANG ERIC B</u>		2. Issuer Name and Ticker or Trading Symbol OOMA INC [ OOMA ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			X	Director	10% Owner	
(Last) (First) (Middle) C/O OOMA, INC.		- 3. Date of Earliest Transaction (Month/Day/Year)	x	Officer (give title below)	Other (specify below)	
		03/10/2021		CEO and Pres.		
525 ALMANOR AVE., S	SUITE 200					
(Street)		- 4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)			
SUNNYVALE CA	94085		X	Form filed by One Re	porting Person	
·		_		Form filed by More the Person	an One Reporting	
(City) (State)	(Zip)					

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	03/10/2021		S <sup>(1)</sup>		7,535	D	\$17.5268 <sup>(2)</sup>	990,923	I	By the Eric Stang & Pamela Stang TR UA 09/02/2004 Stang Family Trust <sup>(3)</sup>
Common Stock	03/11/2021		S <sup>(1)</sup>		22,465	D	\$17.6451 <sup>(4)</sup>	968,458	I	By the Eric Stang & Pamela Stang TR UA 09/02/2004 Stang Family Trust <sup>(3)</sup>

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative 3. Transaction Date 10. Ownership 2. Conversion 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 11. Nature Execution Date, Transaction of Indirect Expiration Date Amount of Derivative derivative if any (Month/Day/Year) Security (Instr. 3) or Exercise Price of Security (Instr. 5) Form: Direct (D) (Month/Day/Year) Code (Instr. Derivative (Month/Day/Year) Securities Securities Beneficial 8) Underlying Beneficially Ownership Securities Acquired (A) or Disposed Owned Following Reported or Indirect (I) (Instr. 4) Derivative Derivative (Instr. 4) Security Security (Instr. 3 and 4) of (D) (Instr. 3, 4 Transaction(s) (Instr. 4) and 5) Amount Number Date Expiration of Code v (A) (D) Exercisable Date Title Shares

#### Explanation of Responses:

1. Sale effected under sales plan pursuant to Rule 10b5-1(c)(1) of the Securities Exchange Act of 1934, as amended.

2. The range of prices for the shares of Common Stock is from \$17.05 to \$17.65. The reporting person undertakes that he will provide, upon request by the staff of the U.S. Securities and Exchange Commission, full information regarding the number of securities sold at each separate price.

3. Shares held by the Eric Stang & Pamela Stang Trust U/A 09/02/2004 Stang Family Trust (the "Trust"). Voting and investment power over the shares held by the Trust is exercised by the reporting person, as one of the trustees.

4. The range of prices for the shares of Common Stock is from \$17.50 to \$17.86. The reporting person undertakes that he will provide, upon request by the staff of the U.S. Securities and Exchange Commission, full information regarding the number of securities sold at each separate price.

### Remarks:

/s/ Eric Stang

\*\* Signature of Reporting Person

<u>03/11/2021</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.