# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**WASHINGTON, DC 20549** 

## **FORM 10-Q/A**

## Amendment No. 1

(Mark One) ☑ QUARTERLY 1934	REPORT PURSUANT TO SEC	TION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF				
	For the quart	rly period ended October 31, 2017				
		OR				
☐ TRANSITION 1934	REPORT PURSUANT TO SEC	TION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF				
	For the transition	period from to				
	Commis	sion File Number: 001-37493				
	(Exact Name of	Oma, Inc. Registrant as Specified in its Charter)				
	Delaware (State or other jurisdiction of incorporation or organization)	06-1713274 (I.R.S. Employer Identification No.)				
	525 Almanor Avenu	e, Suite 200, Sunnyvale, California 94085 ss of principal executive offices)				
	(Registrant's	(650) 566-6600 telephone number, including area code)				
		d to be filed by Section 13 or 15(d) of the Securities Exchange Act of 1934 during the preceding 12 month s been subject to such filing requirements for the past 90 days. Yes ⊠ No □	s (o			
Indicate by check mark pursuant to Rule 405 of Regulati files). Yes ⊠ No □	whether the registrant has submitted electronically a on S-T (§ 232.405 of this chapter) during the preced	nd posted on its corporate Web site, if any, every Interactive Data File required to be submitted and posted ng 12 months (or for such shorter period that the registrant was required to submit and post such				
Indicate by check mark definitions of "large accelerated	whether the registrant is a large accelerated filer, an filer," "accelerated filer," "smaller reporting compar	accelerated filer, a non-accelerated filer, smaller reporting company, or an emerging growth company. See second," and "emerging growth company" in Rule 12b-2 of the Exchange Act.	he			
Large accelerated filer		Accelerated filer	X			
Non-accelerated filer	☐ (Do not check if a smaller reporting comp	any) Smaller reporting company				
Emerging growth company	$\boxtimes$					
	company, indicate by check mark if the registrant has ection 13(a) of the Exchange Act. Yes 🗵 No 🗆	elected not to use the extended transition period for complying with any new or revised financial accounting	ıg			
Indicate by check mark	whether the registrant is a shell company (as defined	in Rule 12b-2 of the Exchange Act). Yes □ No ⊠				

As of November 30, 2017, there were 18,986,578 shares of the registrant's common stock outstanding.

## **Explanatory Note**

Doma, Inc. is filing this Amendment No. 1 to its Quarterly Report on Form 10-Q for the quarter ended October 31, 2017, as filed with the Securities and Exchange Commission on December 8, 2017, solely to revise the certifications filed as Exhibits 31.1 and 31.2 to the original Form 10-Q, which inadvertently omitted certain language regarding internal control over financial reporting required to be included in paragraph 4. This Form 10-Q/A does not reflect events occurring after the filing of the original Form 10-Q and, other than the filing of the corrected certifications mentioned above, does not modify or update the disclosures in the original Form 10-Q in any way.

## **PART II. OTHER INFORMATION**

## Item 6. Exhibits

No financial statements or schedules are filed with this Amendment No. 1 to Quarterly Report on Form 10-Q/A.

The following exhibits are filed with this Amendment No. 1 to Quarterly Report on Form 10-Q/A:

- 31.1 Section 302 Certification of Principal Executive Officer
- 31.2 Section 302 Certification of Principal Financial Officer

# EXHIBIT INDEX

Exhibit Number	<b>Description</b>	Incorporated by Reference From Form	Incorporated by Reference From Exhibit Number	Date Filed
31.1	Certification pursuant to Section 302 of the Sarbanes-Oxley Act of 2002, Rule 13(a)-14(a)/15d-14(a), by Principal Executive Officer.	Filed herewith		
31.2	Certification pursuant to Section 302 of the Sarbanes-Oxley Act of 2002, Rule 13(a)-14(a)/15d-14(a), by Principal Financial Officer.	Filed herewith		

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

Date: December 18, 2017

Ooma, Inc.

By: /s/ Ravi Narula

Ravi Narula Chief Financial Officer (Principal Financial Officer)

# Certification of Principal Executive Officer pursuant to Exchange Act Rules 13a-14(a) and 15d-14(a), as adopted pursuant to Section 302 of the Sarbanes-Oxley Act of 2002

#### I, Eric B. Stang, certify that:

- 1. I have reviewed this Quarterly Report on Form 10-Q of Ooma, Inc. for the quarter ended October 31, 2017;
- 2. Based on my knowledge, this report does not contain any untrue statement of a material fact or omit to state a material fact necessary to make the statements made, in light of the circumstances under which such statements were made, not misleading with respect to the period covered by this report;
- 3. Based on my knowledge, the financial statements, and other financial information included in this report, fairly present in all material respects the financial condition, results of operations and cash flows of the registrant as of, and for, the periods presented in this report;
- 4. The registrant's other certifying officer(s) and I are responsible for establishing and maintaining disclosure controls and procedures (as defined in Exchange Act Rules 13a-15(e) and 15d-15(e)) and internal control over financial reporting (as defined in Exchange Act Rules 13a-15(f) and 15d-15(f)) for the registrant and have:
  - (a) Designed such disclosure controls and procedures, or caused such disclosure controls and procedures to be designed under our supervision, to ensure that material information relating to the registrant, including its consolidated subsidiaries, is made known to us by others within those entities, particularly during the period in which this report is being prepared;
  - (b) Designed such internal control over financial reporting, or caused such internal control over financial reporting to be designed under our supervision, to provide reasonable assurance regarding the reliability of financial reporting and the preparation of financial statements for external purposes in accordance with generally accepted accounting principles;
  - (c) Evaluated the effectiveness of the registrant's disclosure controls and procedures and presented in this report our conclusions about the effectiveness of the disclosure controls and procedures, as of the end of the period covered by this report based on such evaluation; and
  - (d) Disclosed in this report any change in the registrant's internal control over financial reporting that occurred during the registrant's most recent fiscal quarter (the registrant's fourth fiscal quarter in the case of an annual report) that has materially affected, or is reasonably likely to materially affect, the registrant's internal control over financial reporting; and
- 5. The registrant's other certifying officer(s) and I have disclosed, based on our most recent evaluation of internal control over financial reporting, to the registrant's auditors and the audit committee of registrant's board of directors (or persons performing the equivalent functions):
  - (a) All significant deficiencies and material weaknesses in the design or operation of internal control over financial reporting which are reasonably likely to adversely affect the registrant's ability to record, process, summarize and report financial information; and
  - (b) Any fraud, whether or not material, that involves management or other employees who have a significant role in the registrant's internal control over financial reporting.

/s/ Eric B. Stang

Eric B. Stang
President and Chief Executive Officer
(Principal Executive Officer)

Date: December 18, 2017

## Certification of Principal Financial Officer pursuant to Exchange Act Rules 13a-14(a) and 15d-14(a), as adopted pursuant to Section 302 of the Sarbanes-Oxley Act of 2002

#### I, Ravi Narula, certify that:

- 1. I have reviewed this Quarterly Report on Form 10-Q of Ooma, Inc. for the quarter ended October 31, 2017;
- 2. Based on my knowledge, this report does not contain any untrue statement of a material fact or omit to state a material fact necessary to make the statements made, in light of the circumstances under which such statements were made, not misleading with respect to the period covered by this report;
- 3. Based on my knowledge, the financial statements, and other financial information included in this report, fairly present in all material respects the financial condition, results of operations and cash flows of the registrant as of, and for, the periods presented in this report;
- 4. The registrant's other certifying officer(s) and I are responsible for establishing and maintaining disclosure controls and procedures (as defined in Exchange Act Rules 13a-15(e) and 15d-15(e)) and internal control over financial reporting (as defined in Exchange Act Rules 13a-15(f) and 15d-15(f)) for the registrant and have:
  - (a) Designed such disclosure controls and procedures, or caused such disclosure controls and procedures to be designed under our supervision, to ensure that material information relating to the registrant, including its consolidated subsidiaries, is made known to us by others within those entities, particularly during the period in which this report is being prepared;
  - (b) Designed such internal control over financial reporting, or caused such internal control over financial reporting to be designed under our supervision, to provide reasonable assurance regarding the reliability of financial reporting and the preparation of financial statements for external purposes in accordance with generally accepted accounting principles;
  - (c) Evaluated the effectiveness of the registrant's disclosure controls and procedures and presented in this report our conclusions about the effectiveness of the disclosure controls and procedures, as of the end of the period covered by this report based on such evaluation; and
  - (d) Disclosed in this report any change in the registrant's internal control over financial reporting that occurred during the registrant's most recent fiscal quarter (the registrant's fourth fiscal quarter in the case of an annual report) that has materially affected, or is reasonably likely to materially affect, the registrant's internal control over financial reporting; and
- 5. The registrant's other certifying officer and I have disclosed, based on our most recent evaluation of internal control over financial reporting, to the registrant's auditors and the audit committee of registrant's board of directors (or persons performing the equivalent functions):
  - (a) All significant deficiencies and material weaknesses in the design or operation of internal control over financial reporting which are reasonably likely to adversely affect the registrant's ability to record, process, summarize and report financial information; and
  - (b) Any fraud, whether or not material, that involves management or other employees who have a significant role in the registrant's internal control over financial reporting.

/s/ Ravi Narula

Ravi Narula Chief Financial Officer and Treasurer (Principal Financial Officer)

Date: December 18, 2017